

06/19/03
17611 U.S. PTO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF EXPRESS MAILING

This transmittal and the documents and/or fees itemized hereon and attached hereto have been deposited as "Express Mail Post Office to Addressee" in accordance with 37 CFR §1.10 with Mailing Label

Number **EV334278940US**

Attorney Docket No.: HIT1P020A/

SJO9-2001-0068US2

First Named Inventor:

Douglas Werner

16424 U.S. PTO
10/601021
06/19/03

UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.53(b))
(Continuation, Divisional or Continuation-in-part application)

Mail Stop Patent Application

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

☐ Duplicate for
fee processing

Sir: This is a request for filing a patent application under 37 CFR. § 1.53(b) in the name of inventors:
Douglas Werner

For: PLANAR GAP PRE-FILL SYSTEM AND METHOD FOR READ HEADS

This application is a ☐ Continuation ☒ Divisional ☐ Continuation-in-part
of prior Application No.: 09/875,405, from which priority under 35 U.S.C. §120 is claimed.

Application Elements:

- ☒ 22 Pages of Specification, Claims and Abstract
- ☒ 06 Sheets of Drawings
- ☐ Combined Declaration and Power of Attorney
- ☒ Copy from a prior application (37 CFR 1.63(d) for a continuation or divisional). The entire disclosure of the prior application from which a copy of the declaration is herein supplied is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- ☐ Deletion of inventors Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

Accompanying Application Parts:

- ☒ Copy of Assignment and Recordation Cover Sheet from parent case (\$40 Recordation fee enclosed)
- ☐ 37 CFR 3.73(b) Statement by Assignee
- ☐ Information Disclosure Statement with Form PTO-1449 ☐ Copies of IDS Citations
- ☒ Preliminary Amendment
- ☒ Return Receipt Postcard
- ☐ Small Entity Statement(s) ☐ Statement filed in prior application. Status still proper and desired.
- ☐ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i)
- ☐ Other:

Amendments

- ☐ Amend the specification by inserting before the first line the sentence: "This is a
☐ Continuation ☐ Continuation-in-part ☒ Divisional
application of copending prior
☒ Application No. 09/875,405 filed on 06/05/2001,
the disclosure of which is incorporated herein by reference."

- ☒ Cancel in this application original claims 1-8, 16-19, and add claims 20-38 before calculating the filing fee. (At least one original independent claim must be retained.)

Fee Calculation (37 CFR § 1.16)

	(Col. 1) NO. FILED	(Col. 2) NO. EXTRA	SMALL ENTITY RATE FEE	OR	LARGE ENTITY RATE FEE
BASIC FEE			\$355 \$	OR	\$750 \$750
TOTAL CLAIMS	<u>26</u> -20 = <u>06</u>		x09 = \$	OR	x18 = \$108
INDEP CLAIMS	<u>02</u> -03 = <u>00</u>		x40 = \$	OR	x84 = \$0
[] Multiple Dependent Claim Presented			\$135 = \$	OR	\$280 = \$0
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.			Total \$	OR	Total \$858.00

- ☒ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 50-2587 (Order No. SJO9-2001-0068US2/HIT1P020A).

General Authorization for Petition for Extension of Time (37 CFR §1.136)

- ☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 50-2587 (Order No. SJO9-2001-0068US2/HIT1P020A).

- ☒ Please send correspondence to the following address:

Silicon Valley IP Group, LLC.
P. O. Box 721120
San Jose, California 95172-1120
(408) 971-2573

Customer No.::

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PATENT TRADEMARK OFFICE

Date:

6/19/03

Kevin J. Zilka
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